

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

3

A-2

CONSTRUCTION ON STATE HIGHWAY IN  
BUTTE AND SUTTER COUNTIES AT VARIOUS LOCATIONS  
In District 03 on Route 99

UNDER

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Notice to Bidders and Special Provisions dated October 10, 2016  
Project plans approved June 20, 2016  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 03-1F9704

03-Sut, But-99-Var

Project ID 0300020469

FEDERAL-AID PROJECT  
ACNH-P099(609)E

Bids open Wednesday, November 16, 2016

Dated October 10, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9704 Project ID: 0300020469

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Baldwin Contracting Company, Inc. dba Knife River Construction  
Business Street Address 1764 Skyway  
(Include even if P.O. Box is used)  
Business P.O. Box  
City, State, Zip Chico, Ca, 95928  
Telephone No: AREA CODE 530-891-6555  
Fax No: AREA CODE 530-864-6220  
Contractor License No. 91712  
Public Works Contractor Registration No. 1000002755  
Checksum E9E0F39B

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the nonplant establishment work within the number of working days bid for nonplant establishment work.

2. Bidder has and acknowledges the following addenda:3  
12-02-16 Revise Bid Book, Federal Minimum Wages, Project Plans,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Richard A. Lanier AND  
TITLE OF PERSON SIGNING: Estimating Manager

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BY (Authorized signature)

DATE SIGNED( Do not type)

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030 PLAN	LEAD COMPLIANCE	LUMP SUM LS	LUMP SUM	2,200.00
2	120090 AREA SIGNS	CONSTRUCTION	LUMP SUM LS	LUMP SUM	8,300.00
3	120100 SYSTEM	TRAFFIC CONTROL	LUMP SUM LS	LUMP SUM	300,000.00
4	128652 (LS)	PORTABLE CHANGEABLE MESSAGE SIGN	LUMP SUM LS	LUMP SUM	20,000.00
5	130100 MANAGEMENT	JOB SITE	LUMP SUM LS	LUMP SUM	7,500.00
6	130200 PROGRAM	PREPARE WATER POLLUTION CONTROL	LUMP SUM LS	LUMP SUM	1,000.00
7	130500	TEMPORARY EROSION CONTROL BLANKET	800.0 SQYD	6.10	4,880.00
8	130620	TEMPORARY DRAINAGE INLET PROTECTION	5.0 EA	200.00	1,000.00
9	130640	TEMPORARY FIBER ROLL	500.0 LF	5.75	2,875.00
10	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	25,000.00
11	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	2,500.00
12	141000 (TYPE ESA)	TEMPORARY FENCE	300.0 LF	5.40	1,620.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	141120 WASTE	TREATED WOOD	180.0 LB	1.00	180.00
14	150668 END SECTION	REMOVE FLARED	2.0 EA	280.00	560.00
15	032455 THERMOPLASTIC TRAFFIC STRIPE (WATER BLASTING)	REMOVE	230.0 LF	5.00	1,150.00
16	032456 THERMOPLASTIC PAVEMENT MARKING (WATER BLASTING)	REMOVE	160.0 SQFT	10.00	1,600.00
17	150742 SIGN	REMOVE ROADSIDE	1.0 EA	125.00	125.00
18	150771 CONCRETE DIKE	REMOVE ASPHALT	110.0 LF	3.00	330.00
19	150801 DRAIN	REMOVE OVERSIDE	1.0 EA	300.00	300.00
20	150809 (LF)	REMOVE CULVERT	6.0 LF	45.00	270.00
21	152390 ROADSIDE SIGN	RELOCATE	2.0 EA	200.00	400.00
22	153103 ASPHALT CONCRETE PAVEMENT	COLD PLANE	9,830.0 SQYD	7.00	68,810.00
23	153240 (CURB, GUTTER, AND SIDEWALK) (CY)	REMOVE CONCRETE	250.0 CY	100.00	25,000.00
24	160102 GRUBBING (LS)	CLEARING AND	LUMP SUM LS	LUMP SUM	5,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	170101 SUPPLY	DEVELOP WATER	LUMP SUM LS	LUMP SUM	2,500.00
26	180106	DUST PALLIATIVE	LUMP SUM LS	LUMP SUM	2,000.00
27	190101 EXCAVATION	ROADWAY	1,640.0 CY	75.00	123,000.00
28	190185	SHOULDER BACKING	100.0 TON	65.00	6,500.00
29	192502	SAND BEDDING	44.0 CY	40.00	1,760.00
30	198010 (CY)	IMPORTED BORROW	190.0 CY	25.00	4,750.00
31	210250 (BONDED FIBER MATRIX) (SQFT)	EROSION CONTROL	15,400.0 SQFT	0.40	6,160.00
32	210270 CONTROL PRODUCT (NETTING)	ROLLED EROSION	360.0 SQFT	1.70	612.00
33	210350	FIBER ROLLS	750.0 LF	5.50	4,125.00
34	260203 AGGREGATE BASE (CY)	CLASS 2	1,110.0 CY	60.00	66,600.00
35	390132 (TYPE A)	HOT MIX ASPHALT	2,040.0 TON	110.00	224,400.00
36	390136 ASPHALT	MINOR HOT MIX	16.0 TON	250.00	4,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	390402	RUBBERIZED HOT MIX ASPHALT-OPEN GRADED (OPEN GRADED FRICTION COURSE)	390.0 TON	250.00	97,500.00
38	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	200.0 LF	21.00	4,200.00
39	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	330.0 SQYD	75.00	24,750.00
40	397005	TACK COAT	2.1 TON	800.00	1,680.00
41	498052	60" CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION)	44.0 LF	780.00	34,320.00
42 (F)	510501	MINOR CONCRETE	2.6 CY	1,200.00	3,120.00
43 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	1.0 CY	1,500.00	1,500.00
44 (F)	560218	FURNISH SIGN STRUCTURE (TRUSS)	29,288.0 LB	3.05	89,328.40
45 (F)	560219	INSTALL SIGN STRUCTURE (TRUSS)	29,288.0 LB	0.25	7,322.00
46	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	18.0 SQFT	7.66	137.88
47	566012	ROADSIDE SIGN - TWO POST	1.0 EA	600.00	600.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
48	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	4.0 EA	130.00	520.00
49	032457	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	6.0 SQFT	7.66	45.96
50	032458	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-FRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	18.0 SQFT	18.66	335.88
51	032459	RETROREFLECTIVE SHEETING (TYPE XI)	24.0 SQFT	4.66	111.84
52	620100	18" ALTERNATIVE PIPE CULVERT	4.0 LF	181.00	724.00
53	620180	30" ALTERNATIVE PIPE CULVERT	150.0 LF	132.00	19,800.00
54	705311	18" ALTERNATIVE FLARED END SECTION	1.0 EA	455.00	455.00
55	705319	30" ALTERNATIVE FLARED END SECTION	2.0 EA	1,200.00	2,400.00
56	707125	48" PRECAST CONCRETE PIPE INLET	4.0 LF	1,975.00	7,900.00
57(F)	721015	ROCK SLOPE PROTECTION (LIGHT, METHOD B) (CY)	9.0 CY	180.00	1,620.00
58(F)	721028	ROCK SLOPE PROTECTION (NO. 2, METHOD B) (CY)	2.0 CY	180.00	360.00



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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
59	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	37.0 SQYD	7.00	259.00
60	731504	MINOR CONCRETE (CURB AND GUTTER)	52.0 CY	795.00	41,340.00
61	731521	MINOR CONCRETE (SIDEWALK)	8.0 CY	795.00	6,360.00
62	731623	MINOR CONCRETE (CURB RAMP)	55.0 CY	795.00	43,725.00
63	733000	PRE/POST CONSTRUCTION SURVEYS	23.0 EA	1,085.00	24,955.00
64(F)	750001	MISCELLANEOUS IRON AND STEEL	180.0 LB	4.00	720.00
65	820112	MARKER (CULVERT)	2.0 EA	55.00	110.00
66	820141	OBJECT MARKER (TYPE K-1)	2.0 EA	55.00	110.00
67	832006	MIDWEST GUARDRAIL SYSTEM (STEEL POST)	230.0 LF	55.00	12,650.00
68	832070	VEGETATION CONTROL (MINOR CONCRETE)	170.0 SQYD	100.00	17,000.00
69	839581	END ANCHOR ASSEMBLY (TYPE SFT)	2.0 EA	1,000.00	2,000.00
70	032460	ALTERNATIVE IN-LINE TERMINAL SYSTEM (STEEL POST)	2.0 EA	4,500.00	9,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
71	840504	4" THERMOPLASTIC TRAFFIC STRIPE	1,950.0 LF	2.00	3,900.00
72	840506	8" THERMOPLASTIC TRAFFIC STRIPE	860.0 LF	2.00	1,720.00
73	840507	6" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 8-4)	110.0 LF	2.00	220.00
74	840515	THERMOPLASTIC PAVEMENT MARKING	4,840.0 SQFT	5.00	24,200.00
75	840521	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 6-1)	220.0 LF	2.00	440.00
76	840526	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 17-7)	50.0 LF	2.00	100.00
77	850101	PAVEMENT MARKER (NON-REFLECTIVE)	320.0 EA	5.00	1,600.00
78	850111	PAVEMENT MARKER (RETROREFLECTIVE)	130.0 EA	5.00	650.00
79	032462	CLOSED CIRCUIT TELEVISION (CCTV) SYSTEM	LUMP SUM LS	LUMP SUM	202,199.00
80	870600	TRAFFIC MONITORING STATION SYSTEM	LUMP SUM LS	LUMP SUM	84,912.00
81	871200	CHANGEABLE MESSAGE SIGN SYSTEM	LUMP SUM LS	LUMP SUM	190,257.00
82	872130	MODIFYING EXISTING ELECTRICAL SYSTEM	LUMP SUM LS	LUMP SUM	522,431.00

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
83	BLANK		LUMP SUM LS	LUMP SUM	0.00
84	730070 WARNING SURFACE	DETECTABLE	660.0 SQFT	20.00	13,200.00
85	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	450,000.00
Total Bid					\$3,167,795.96

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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TOTAL BID

TOTAL BID FOR BID ITEMS:		\$2,879,795.96
TOTAL BID FOR TIME: 60	X \$4,800.00 =	\$288,000.00
WORKING DAYS BID (Do not bid less than 60 days and not more than 85 Da		
TOTAL BID FOR COMPARISON (COST PLUS TIME):		\$3,167,795.96

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Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

1) Business Name Omni Pipelines  
Location City Red Bluff State CA  
California Contractor License Number 405642  
Public Works Contractor Registration Number 1000001355  
Portion of Work Subcontracted:

Item	%	Description
14	100	
20	100	
43	100	
52	100	
53	100	

List this sub? Yes

2) Business Name Omni Pipelines  
Location City Red Bluff State CA  
California Contractor License Number 405642  
Public Works Contractor Registration Number 1000001355  
Portion of Work Subcontracted:

Item	%	Description
54	100	
55	100	
56	100	
57	100	
58	100	

List this sub? Yes

3) Business Name Omni Pipelines  
Location City Red Bluff State CA  
California Contractor License Number 405642  
Public Works Contractor Registration Number 1000001355  
Portion of Work Subcontracted:

Item	%	Description
59	100	
64	100	
85	1.24	Mobilization sub

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

4) Business Name Nitta Erosion Control  
Location City Loomis State CA  
California Contractor License Number 401640  
Public Works Contractor Registration Number 1000000478  
Portion of Work Subcontracted:

Item	%	Description
7	100	
9	100	
12	100	
31	100	
32	100	

List this sub? Yes

5) Business Name Nitta Erosion Control  
Location City Loomis State CA  
California Contractor License Number 401640  
Public Works Contractor Registration Number 1000000478  
Portion of Work Subcontracted:

Item	%	Description
33	100	

List this sub? Yes

6) Business Name PACIFIC EXCAVATION  
Location City ELK GROVE State CA  
California Contractor License Number 694400  
Public Works Contractor Registration Number 1000000188  
Portion of Work Subcontracted:

Item	%	Description
79	100	
80	100	
81	100	
82	100	

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

7) Business Name STATEWIDE TRAFFIC SAFETY & SIGNS  
Location City SACRAMENTO State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item	%	Description
41	100	
44	100	
45	100	

List this sub? Yes

8) Business Name FBD VANGUARD CONSTRUCTION  
Location City LIVERMORE State CA  
California Contractor License Number 833032  
Public Works Contractor Registration Number 1000008493  
Portion of Work Subcontracted:

Item	%	Description
60	100	
61	100	
62	100	
68	100	
84	100	

List this sub? Yes

9) Business Name FBD VANGUARD CONSTRUCTION  
Location City LIVERMORE State CA  
California Contractor License Number 833032  
Public Works Contractor Registration Number 1000008493  
Portion of Work Subcontracted:

Item	%	Description
85	1.61	MOBILIZATION SUB

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

10) Business Name CENTERLINE STRIPING COMPANY  
Location City ELK GROVE State CA  
California Contractor License Number 499345  
Public Works Contractor Registration Number 1000004030  
Portion of Work Subcontracted:

Item	%	Description
15	100	
16	100	
71	100	
72	100	
73	100	

List this sub? Yes

11) Business Name CENTERLINE STRIPING COMPANY  
Location City ELK GROVE State CA  
California Contractor License Number 499345  
Public Works Contractor Registration Number 1000004030  
Portion of Work Subcontracted:

Item	%	Description
74	100	
75	100	
76	100	
77	100	
78	100	

List this sub? Yes

12) Business Name CENTERLINE STRIPING COMPANY  
Location City ELK GROVE State CA  
California Contractor License Number 499345  
Public Works Contractor Registration Number 1000004030  
Portion of Work Subcontracted:

Item	%	Description
85	2.57	MOBILIZATION SUB



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DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

13) Business Name STATEWIDE TRAFFIC SAFETY & SIGNS  
Location City SACRAMENTO State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item	%	Description
13	100	
17	100	
21	100	
46	100	
47	100	

List this sub? Yes

14) Business Name STATEWIDE TRAFFIC SAFETY & SIGNS  
Location City SACRAMENTO State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item	%	Description
48	100	
49	100	
50	100	
51	100	
65	100	

List this sub? Yes

15) Business Name STATEWIDE TRAFFIC SAFETY & SIGNS  
Location City SACRAMENTO State CA  
California Contractor License Number 975518  
Public Works Contractor Registration Number 1000001109  
Portion of Work Subcontracted:

Item	%	Description
66	100	
2	100	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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ADDITIONAL SUBCONTRACTOR LIST 1

DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

16) Business Name MIDSTATE BARRIER  
Location City STOCKTON State CA  
California Contractor License Number 529261  
Public Works Contractor Registration Number 1000000538  
Portion of Work Subcontracted:

Item	%	Description
67	100	
69	100	
70	100	

List this sub? Yes

17) Business Name FEENEY ENGINEERING & SURVEYING INC.  
Location City CHICO State CA  
California Contractor License Number N/A  
Public Works Contractor Registration Number 1000001331  
Portion of Work Subcontracted:

Item	%	Description
63	100	

List this sub? Yes

18) Business Name ABSL CONSTRUCTION  
Location City SACRAMENTO State CA  
California Contractor License Number 621781  
Public Works Contractor Registration Number 1000002818  
Portion of Work Subcontracted:

Item	%	Description
22	25	COLD PLANE SUB

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DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

19) Business Name HOPPY'S SWEEPING SERVICES  
Location City STOCKTON State CA  
California Contractor License Number N/A  
Public Works Contractor Registration Number 1000025629  
Portion of Work Subcontracted:

Item	%	Description
10	100	

List this sub? Yes

20) Business Name T&S DVBE  
Location City ANDERSON State CA  
California Contractor License Number 983087  
Public Works Contractor Registration Number 1000007688  
Portion of Work Subcontracted:

Item	%	Description
3	41.2	TRAFFIC CONTROL SUB
4	100	



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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9704      Project ID: 0300020469

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9704 Project ID: 0300020469

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9704 Project ID: 0300020469

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Knife River Construction, proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9704 Project ID: 0300020469

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Butte

Name Richard A. Lanier,

being first duly sworn, deposes and says that he or she is

Estimating Manager of

Baldwin Contracting Co., Inc. dba Knife River Construction

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9704 Project ID: 0300020469

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

---

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-1F9704 Project ID: 0300020469

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_  
\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for  
CONSTRUCTION ON STATE HIGHWAY IN  
BUTTE AND SUTTER COUNTIES AT VARIOUS LOCATIONS  
In District 03 on Route 99  
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on  
12-07-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

Address of Surety: \_\_\_\_\_

(for correspondence or claims)

By \_\_\_\_\_

Attorney-in-Fact



CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

**Bond Details for: Bid Bond**

**Status:** In Effect  
**Bond Form:** CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)  
**Current Effective Date:** 11/16/2016  
**Expiration Date:** 2/16/2017  
**Subsidiary:** Baldwin Contracting Company, Inc. dba Knife River Construction  
**Description:** CONSTRUCTION ON STATE HIGHWAY BUTTE AND SUTTER COUNTIES AT VARIOUS LOCATIONS  
**Contract Date:**  
**Type of Bond:** Contract  
**SAA Code:** Bid Bond(000)  
**Initial Effective Date:** 11/16/2016

**Principal**

**Name:** Baldwin Contracting Company, Inc. dba Knife River Construction  
**Address:** 1764 Skyway  
 Chico, CA 95928  
**State of Incorporation:** CA

**Obligee**

**Name:** Caltrans  
**Address:** 1727 30th Street  
 Sacramento, CA 95816  
**State of Obligation:** CA  
**Obligee Type:** unknown

**Agency Information**

**Agency:** Willis  
**Site:** Willis of Minnesota, Inc. (Minneapolis, MN)  
**Attorney-in-fact:** Heather Goedtel  
**State of Execution:** MN

**Bond Form Custom Fields**

**Bid Location:** Sacramento, CA  
**Contract ID No. Required for Electronic Bidding:** 03-1F9704  
**Contractors ID No. Required for Electronic Bidding:** 24312

**Public Custom Fields (Viewable by All Users)**

**Requested By:** JARED SPRINGER

**Other Information**

**Project, Invitation or Bid Number:** 03-1F9704  
**Project Name:** 03-1F9704  
**Bid Date:** 11/16/2016  
**Bid Time:** 2:00  
**Original Bid Date:** 11/16/2016  
**Bid Bond Amount:** 10%  
**SurePath ID (Long):** {B7D3CFC3-E402-41DB-83CA-9F417CA55930}  
**Bond Validation Number(BVN):** 1BDL-EHxD-FSLD-6WJ8 [E-Mail BVN](#)

**Issuing Carriers**

Liberty Mutual Insurance Company

**Bond Number:** Bid Bond  
**Premium Amount:** \$0.00  
**State Surcharge:** \$0.00  
**Municipal Tax:** \$0.00

**Processing Rules**

**Execution:** Standard Bid Bond  
**Cancellation:** Non-Cancellable  
**No. Days Notice for Cancellation:** 0  
**Renewal:** Term Bond  
**Rider:** Contract Construction  
**Reinstatement:** Standard Reinstatement